

## **Taylor & Associates**

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### **Notice of Privacy Policy**

This notice is being provided by Nigel Brian Taylor, CFP®, investment adviser, who offers securities as a Registered Representative through Royal Alliance Associates, Inc., member NASD & SIPC, a Registered Broker-Dealer, to provide you, the potential or existing client with information regarding our privacy policy. We are committed to keeping the personal information collected from our potential, current and former customers confidential and secure. The proper handling of personal information is one of our highest priorities. We want to be sure that you know why we need to collect personal information from you. We also want to explain to you our commitment to protect the information you provide to us. We never sell your information to any outside parties.

#### **Customer Information**

Our Personal Policy with regards to records; All files and information obtained by us in the course of our business remains absolutely confidential. We do not release any information whatsoever, PERIOD. As an essential part of servicing your account with us, we may transmit certain personal information to the Broker-Dealer, to effect trades within your brokerage and other accounts. Similarly, in dealing with other service providers, such as mutual funds and insurance companies with whom you have a fiduciary relationship, we will transmit certain personal information. These disclosures are permitted by law and are essential in servicing your account with us. You will receive privacy policy statements from these companies as well. But please note that Taylor & Associates will not disclose personal, non-public information about its clients to any direct marketer or unrelated service provider for any reason. We respect the confidential nature of our relationship with our clients, and we restrict access to personal, non-public information about those clients to only those employees who need to know that information to service client accounts.

#### **Internet Access**

We provide a website on the Internet for our company which is informational only. Accessing this website in no way compromises your privacy since we gather no personal information from you. We do not utilize Cookies, or any other information gathering web tools. You should check the privacy policy for any links provided upon leaving our web site.

#### **Employee Access to Information**

Only employees with a valid business reason have access to your personal information. These employees are educated on the importance of maintaining the confidentiality and security of this information. They are required to abide by our information handling practices.

## Protection of Information

We maintain security standards to protect your information, whether written, spoken, or electronic. We update and check our systems to ensure the protection and integrity of information.

## E-Mail

Should you send us your questions and comments via e-mail, please utilize the E mail address: [mail@protectassets.com](mailto:mail@protectassets.com) the personal E mail address of Nigel Taylor, CFP®. We will retain your communication until we have done our very best to provide you with a complete and satisfactory response. Ultimately, we will either discard your communication or archive it according to Royal Alliance Associates, Inc.'s requirement under applicable securities laws.

Please note that, although every attempt will be made to keep your information confidential, from a technical standpoint, there is always a risk from hackers. For that reason, please do not use e-mail to communicate information to us that you consider to be confidential. If you wish, you may contact us instead via telephone at 310. 260. 1126. Additional security is available to you if you equip your Internet browser with 128-bit "secure socket layer" encryption which provides more secure transmissions.

## Disclosure of our Privacy Policy

We recognize and respect the privacy concerns of our potential, current and former customers. We are committed to safeguarding this information. As a member of the financial services industry, we are sending you this Notice of Privacy Policy for informational purposes and will update and distribute it as required by law. It is also available upon request.